

Two “almost sure” theorems under the lens of algorithmic randomness

Randomness, Information and Complexity, Week 4
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K -trivials, Martin-Löf randomness, and \leq_T

- ▶ $A \subseteq \{0, 1\}^{\mathbb{N}}$ is called K -trivial if $\forall n K(A \upharpoonright n) \leq K(n) + O(1)$.
- ▶ If $Z \not\leq_T \emptyset'$ is MLR, A is c.e. and $A \leq_T Z$, then A is K -trivial [HNS, 2007]. Does every K -trivial A have such a Z Turing above?

The following two results together show the answer is YES, in fact via a single Z .

Theorem (BGKNT, JEMS 2016)

Let Z be MLR. Then

Z is Oberwolfach random \iff

Z does **not** compute every K -trivial.

Theorem (by Day and Miller, MRL, 2015)

There is $Z \not\leq_T \emptyset'$ that is MLR and not Oberwolfach random.

Interactions of Algorithmic Randomness

Randomness

- Defines hierarchy of randomness notions via algorithmic tests
- Provides characterizations
- Separations

Computability

- **Complexity** of subsets of \mathbb{N}
- Relative via Turing reducibility
- Absolute, such as low, below halting problem, ...

Analysis, ergodic theory

"Almost sure"
theorems

Algorithmic test notions

Work in $[0, 1]$ or in $\{0, 1\}^{\mathbb{N}}$. Most test notions refine this:

A (weak 2) **test** is a uniformly Σ_1^0 sequence $(G_m)_{m \in \mathbb{N}}$ such that $\lim_m \lambda G_m = 0$. Z **fails** the test if $Z \in \bigcap G_m$.

- ▶ **OW test** (or **left-c.e. bounded test**):
 $\lambda G_m = O(\beta - \beta_m)$, where (β_m) is a computable sequence of rationals, and $\beta = \sup_m \beta_m$.
- ▶ **Martin-Löf test**: β is computable (equivalently, could require $\lambda G_m \leq 2^{-m}$)
- ▶ **Schnorr test**: λG_m is computable uniformly in m .

Interactions Computability-Randomness

- LOWNESS PROPS
- K-TRIVIALS
- \leq_{LR} , \leq_{ML}
- TURING DEGREES
- MUCHEM DEGREES

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Interactions Randomness- Analysis

Randomness

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ALGORITHMIC VERSIONS
OF ALMOST-SURE THEOREMS
HOW MUCH RANDOMNESS
IS NEEDED FOR THE
PROPERTY TO HOLD?



Analysis, ergodic theory

"Almost sure"
theorems

TRANSLATES OF SEQUENCES IN SETS OF POSITIVE MEASURE

BY

D. BORWEIN AND S. Z. DITOR

Given a measurable set A of real numbers with measure $mA > 0$, and a sequence $\{d_n\}$ of real numbers converging to zero, is there always an x such that $x + d_n \in A$ for all n sufficiently large?

The answer to this question, which was posed to the authors by P. Erdős, is NO. The actual situation can be described as follows.

THEOREM 1. (i) *If A is a measurable set with $mA > 0$ and $\{d_n\}$ is a sequence converging to zero, then, for almost all $x \in A$, $x + d_n \in A$ for infinitely many n .*

(ii) *There is a measurable set A with $mA > 0$ and a monotonic sequence $\{d_n\}$ of positive numbers converging to zero such that, for all x , $x + d_n \notin A$ for infinitely many n .*

Borwein-Ditor Theorem

Theorem (Borwein-Ditor, 1978)

Let $A \subseteq \mathbb{R}$ be closed. Let (r_m) be a null sequence in \mathbb{R} .

Almost surely,

$$x \in A \Rightarrow x \in A + r_m \text{ for infinitely many } m.$$

- ▶ The proof is easy: The set $E = \{x : \exists^\infty m [x \in A + r_m]\}$ is contained in A because A is closed. Also $\lambda E \geq \lambda A$.
- ▶ Result fails with “for a.e. m ”: Borwein and Ditor build an A and null sequence so that for each $x \in \mathbb{R}$, also $\exists^\infty m x \notin A + r_m$. All computable.
- ▶ If A is effectively closed and (r_m) is computable, then each 1-generic x does this. So we don't get a test notion out of this.

Theorem (Galicki and N., Proceedings of CiE 2016)

Let $\mathcal{P} \subseteq \mathbb{R}$ be effectively closed, (r_m) computable null sequence.

- (a) If x is OW-random then $\exists m [x \in \mathcal{P} + r_m]$ (hence \exists^∞).
- (b) In case $\lambda\mathcal{P}$ is computable, Schnorr randomness suffices.

Sketch: Work in $\{0, 1\}^{\mathbb{N}}$ instead of $[0, 1]$ (i.e., ignore dyadic rationals).
 $\mathcal{S} := \{0, 1\}^{\mathbb{N}} - \mathcal{P} = \bigcup_m [\sigma_m]$ for a computable sequence of strings (σ_m) .
Can assume $r_m \leq 2^{-m}$. Let q be a computable function with

$$q(m) > m \text{ and } \forall i < m [q(m) > |\sigma_i|].$$

A test that x fails is obtained by shifting \mathcal{S} by $r_{q(m)}$ and removing the first m dyadic intervals unshifted:

$$G_m = (\mathcal{S} + r_{q(m)}) \setminus [\sigma_0, \dots, \sigma_{m-1}]^{\prec}.$$

- (a) (G_m) is OW test via $\beta = \lambda\mathcal{S}$, $\beta_m = \lambda[\sigma_0, \dots, \sigma_{m-1}]^{\prec} - m2^{-m}$.
- (b) β is computable by hypothesis, so (G_m) is Schnorr test.

Any left-c.e. ML-random fails the BD property

We say that Z has the BD property if for each $\mathcal{P} \subseteq \mathbb{R}$ be effectively closed, (r_m) computable null sequence, $Z \in \mathcal{P}$ implies that $Z \in \mathcal{P} + r_m$ for some m .

Let Z be a left-c.e. ML random.

- ▶ By the usual existence of a universal ML test, $Z = \min(\mathcal{P})$ for some effectively closed set of ML-randoms.
- ▶ Thus Z fails the BD property via any computable null sequence of negative real numbers.

We don't know of substantially different examples of Turing complete ML-randoms that fail BD.

In fact each ML-random with the BD property could be Turing incomplete.

Version for k null sequences

Theorem (Borwein-Ditor, 1978)

Let $A \subseteq \mathbb{R}$ be closed. Let $k \in \mathbb{N}$. For each $\ell < k$ let (r_m^ℓ) be a null sequence in \mathbb{R} . Then almost surely

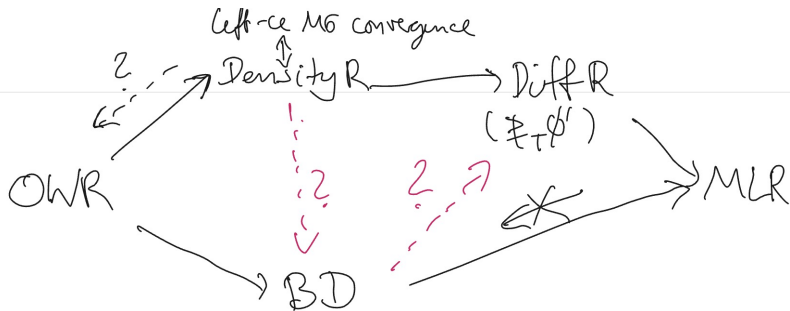
$$x \in A \Rightarrow \forall \ell < k [x \in A + r_m^\ell] \text{ for infinitely many } m.$$

Their easy proof using that A is closed doesn't work. However, in the algorithmic settings, the proof goes through almost unchanged.

Comparison with density randomness

- ▶ For $\mathcal{P} \subseteq \{0, 1\}^{\mathbb{N}}$ and $Z \in \{0, 1\}^{\mathbb{N}}$ one defines the **lower density**

$$\underline{\rho}(\mathcal{P} \mid Z) = \liminf_k \lambda(\mathcal{P} \cap [Z \upharpoonright k]) / 2^{-k}.$$
- ▶ We say that $Z \in \text{MLR}$ is **density random** if $\underline{\rho}(\mathcal{P} \mid Z) = 1$ for each effectively closed \mathcal{P} with $Z \in \mathcal{P}$. (See Miyabe N and Zhang, BSL, 2016)



Multiple recurrence

We work mainly in the setting $\{0, 1\}^{\mathbb{N}}$ with the shift operator $T : \{0, 1\}^{\mathbb{N}} \rightarrow \{0, 1\}^{\mathbb{N}}$ that erases the first bit. Note that T is measure preserving (ergodic in fact).

Definition

Let $\mathcal{P} \subseteq \{0, 1\}^{\mathbb{N}}$ be measurable, and let $Z \in \{0, 1\}^{\mathbb{N}}$. We say that Z is **k -recurrent** in \mathcal{P} if there is $n \geq 1$ such that

$$\forall i. 1 \leq i \leq k [T^{ni}(Z) \in \mathcal{P}].$$

We say that Z is **multiply recurrent** in \mathcal{P} if Z is k -recurrent in \mathcal{P} for each $k \geq 1$.

By a general result of Furstenberg, if $\lambda\mathcal{P} > 0$, then almost every Z is multiply recurrent in \mathcal{P} .

Algorithmic versions

Theorem (Downey, Nandakumar, N. 2019)

Let $\mathcal{P} \subseteq \{0, 1\}^{\mathbb{N}}$ be effectively closed with $0 < \alpha := \lambda\mathcal{P}$.

- (a) Each Martin-Löf random Z is multiply recurrent in \mathcal{P} .
- (b) If α is computable then Schnorr randomness suffices.
- (c) If \mathcal{P} is clopen then Kurtz randomness suffices.

Idea for (a): Fix k , and assume Z is not k -recurrent.

First assume that $1 - \alpha < 1/k$. We can build a ML-test (G_m) for Z that looks for failures of k -recurrence on longer and longer initial segments. By the hypothesis, the measure of such a failure is at most $q = k(1 - \alpha) < 1$. Then iterate this m times for G_m with $\lambda G_m < q^m$. To remove the additional hypothesis, write $\{0, 1\}^{\mathbb{N}} - \mathcal{P} = \bigcup_r [\tau_r]$ for a computable prefix free sequence, and work with $\mathcal{P} \cup \bigcup_{i < r} [\tau_i]$ instead where r is large enough so that hypothesis holds. Extra complications.

Recurrence for k shift operators

The probability space under consideration is now $\mathcal{X} = \{0, 1\}^{\mathbb{N}^k}$ with the product measure. For $1 \leq i \leq k$

$$T_i(Z)(u_1, \dots, u_k) = Z(u_1, \dots, u_i + 1, \dots, u_k).$$

Z is **recurrent** in a class $\mathcal{P} \subseteq \mathcal{X}$ if $[Z \in \bigcap_{i \leq k} T_i^{-n}(\mathcal{P})]$ for some n .

Theorem

Let $\mathcal{P} \subseteq \mathcal{X}$ be a Π_1^0 class with $0 < p = \lambda\mathcal{P}$. Let $Z \in \mathcal{X}$. If Z is (a) ML-random (b) Schnorr random (c) Kurtz-random, then Z is recurrent in \mathcal{P} assuming also that for (b) $\lambda\mathcal{P}$ is computable, for (c) \mathcal{P} is clopen.

What is the full result?

We don't have an algorithmic version of the general multiple recurrence theorem.

Conjecture

- ▶ Let (X, μ) be a computable probability space.
- ▶ Let T_1, \dots, T_k be computable measure preserving transformations that commute pairwise.
- ▶ Let \mathcal{P} be effectively closed with $\mu\mathcal{P} > 0$.

If $z \in \mathcal{P}$ is ML-random then $\exists n[z \in \bigcap_{i \leq k} T_i^{-n}(\mathcal{P})]$.

Some references

- ▶ Kenshi Miyabe, N. and Jing Zhang. **Using almost-everywhere theorems from analysis to study randomness.** Bulletin of Symbolic Logic 22(3):305-331, 2016.
- ▶ Alexander Galicki and N. **A computational approach to the Borwein-Ditor Theorem.** In: 12th Conference on Computability in Europe (CiE), Paris, Beckmann A., Bienvenu L. (eds.) Lecture Notes in Computer Science 9709: 99-104
- ▶ Rod Downey, Satyadev Nandakumar and N. **Martin-Loef randomness implies multiple recurrence in effectively closed sets.** Notre Dame Journal of Formal Logic 60.3 (2019): 491-502.